

# ANNUAL MULTI STAKEHOLDER FORUM

## WATER SUPPLY, SANITATION AND HYGIENE – SUB-SECTOR

11 – 13 October 2006, Addis Ababa, Ethiopia

# SECTOR REVIEW: Policy and Regulation

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(on-behalf of Working Group I)



# Outline

## I. Introduction: the Working Group

- WG composition
- WG Tasks
- Methods
- Tasks accomplished

## II. Policy and Regulation situation

## III. SWOT of the existing system

## IV. Gaps and proposed interventions

## V. Conclusions

# I. Introduction: the WG

## WG composition

- 18 (Addis Ababa)
- 6 (out of Addis Ababa)
- Total 24 (Registered)

## Focal Persons:

- MOWR: Lakech Haile
- MOH: Sileshi Taye
- Stakeholders: Kidanemariam Jembere, ECWP

# I. Introduction: the WG

## Assignment:

- Review Policy and Regulation situation of the sector
- Analyze gaps
- Propose interventions



# I. Introduction: the WG

## Methods:

- Email and telephone communications
- Informal meetings
- Focal Persons meeting
- Review by individual members
- WG Members meetings
- Consultation with the Taskforce members
- Consultation with the Facilitating Consultant
- Mini-workshops



# I. Introduction: the WG

## Tasks accomplished

1. Checked completeness of the Source Documents used; and identified additional materials for inclusion- Annotated bibliography checked
2. SWOT analysis on the Synopsis Report
3. SWOT analysis on the Sector
4. Identified gaps and proposed interventions

# I. Introduction: the WG

## Difficulties the WG faced:

- Sector Review document is very useful and its preparation requires serious attention
- Voluntary basis contribution was not effective
  - Busy members
  - Difficulty in communicating
  - Less commitment

## II. Policy and Regulation situation

- Overall reform process: decentralization, separating regulation and service delivery and civil service reform
- water policy, strategy, regulations
- Health policy, environmental health proclamations
- Sanitation strategy, protocol





# III. Policy & Regulation: Strengths

- High priority for the sector in the PASDEP
- Comprehensive policies, proclamations, regulations
- Policy and strategy documents embracing key principles  
(IWRM, water as an economic good, participatory approach, preventive health care, etc..)
- On-going efforts/programs
  - Universal Access Plan
  - sanitation protocol
  - health extension packages
  - gender mainstreaming guidelines/Women Affairs Dept.

# III. Policy & Regulation: Weaknesses

- Policies not well popularized
- Regulations, standards, guidelines missing--at Regions
- Institutional instability and high staff turnover
- Poor coordination
  - Sectoral approaches, conflicts
  - Roles and responsibilities not sufficiently clear
  - Unclear linkages b/n the federal and regional bodies
  - Inadequate cooperation b/n Government, NGOs, Private sector, etc



# III. Policy & Regulation: Weaknesses

- Accountability for policy compliance missing
- Poor system of monitoring and evaluation
- Less participatory and integrated planning and implementation
- Lack of mainstreaming Donors' assistance to the overall development plan
- Insufficient implementation capacity, especially at wereda level
- Less involvement by the private sector...limited capacity
- Community structures not recognised as legal entities.
- Inadequate attention to sanitation and hygiene promotion



# III. Policy & Regulation: Opportunities

- Existence of PASDEP as a basis for enhancing intervention in the sector
- Emerging Programs (MDGs, Universal access, Health extension)
- Emerging Networks, Initiatives and Partnerships
- Decentralization and overall civil service reform program
- Many development partners willing to assist the sector
- The MOU signed between the water, health and education sectors



# III. Policy & Regulation: Threats

- Inadequate budget allocation for the sub sector
- Inadequate supply of competent and skilled human resources.
- The growing environmental degradation
- Lack of accountability for not properly discharging responsibilities
- High population growth that does not match with economic growth



# V. Gaps and proposed interventions

Policy and regulatory Gaps	Proposed Interventions
<p><b>Absence of implementation and accountability mechanisms for policies/strategies.</b></p> <p><i>including lack of policy, strategy and regulatory enforcement mechanisms</i></p>	<ul style="list-style-type: none"><li>○ Promote and publicize policies and strategies</li><li>○ Mainstream Donors' assistance to the overall development plan</li><li>○ Create effective mechanism for evaluating implementation of plans and performance.</li></ul>

# V. Gaps and proposed interventions

Policy and regulatory Gaps	Proposed Interventions
<b>Missing policy, strategy and regulation</b>	<ul style="list-style-type: none"><li>○ Assist regions to have regulations to facilitate policy implementation</li><li>○ Enact guidelines to remove private sector constraints</li><li>○ Devolve ownership and management autonomy to the lowest possible level.</li><li>○ Improve legal backing for community structures to implement, operate and maintain facilities.</li></ul>

# V. Gaps and proposed interventions

Policy and regulatory Gaps	Proposed Interventions
<b>Institutional instability and high staff turnover</b>	<ul style="list-style-type: none"><li>○ Create stable structures</li><li>○ Improve incentives and rewards</li><li>○ Create improved working environment</li></ul>
<b>Inadequate system for mainstreaming gender issues in the water and sanitation sector</b>	<ul style="list-style-type: none"><li>○ Develop a system for gender mainstreaming: planning; M&amp;E; accountability</li></ul>



## VI. Conclusion

(Policies and strategies are available )

- Develop regulations, standards, guidelines, etc
- Develop mechanisms to measure compliance
- Empower local communities to manage systems
- Improve private sector involvement

**Sector Review..GOOD START.. should continue**

# ***Thank You!***

## **Policy and Regulation Working Group**

MSF Water Supply, Sanitation and  
Hygiene sub-sector



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